

DATE: december 1, 2009

# CPR Panelist Questionnaire

This form will permit CPR staff to access your information when disputants seek panelists.

## 1. IDENTIFICATION DATA

**Name** Steven A. Hammond  
**Courtesy title:** Mr.  
**Applicable suffix:**  
**Firm/Company:** Hughes Hubbard & Reed LLP  
**Street:** One Battery Park Plaza  
**PO Box (if any):**  
**City:** New York  
**State:** NY  
**Zip:** 10004  
**Country:** USA  
**Preferred Phone:** 2128376253  
**Secondary Phone:**  
**(not cell phone)**  Check here to restrict to CPR Use only  
**Fax:** 2122996253  
**Cell Phone:** 917 327 0342  
 Check here to restrict cell to CPR Use only  
**e-mail:** hammond@hugheshubbard.com  
**Web Site (if any):**

Responses to the following questions on Race, Gender and Citizenship are optional.

### RACE:

**Hispanic or Latino** - A person of Cuban, Mexican, Puerto Rican, South or Central American, or other Spanish culture or origin regardless of race.

**White (Not Hispanic or Latino)** - A person having origins in any of the original peoples of Europe, the Middle East, or North Africa. yes

**Black or African American (Not Hispanic or Latino)** - A person having origins in any of the black racial groups of Africa.

**Native Hawaiian or Other Pacific Islander (Not Hispanic or Latino)** - A person having origins in any of the peoples of Hawaii, Guam, Samoa, or other Pacific Islands.

**Asian (Not Hispanic or Latino)** - A person having origins in any of the original peoples of the Far East, Southeast Asia, or the Indian Subcontinent, including, for example, Cambodia, China, India, Japan, Korea, Malaysia, Pakistan, the Philippine Islands, Thailand, and Vietnam.

**American Indian or Alaska Native (Not Hispanic or Latino)** - A person having origins in any of the original peoples of North and South America (including Central America), and who maintain tribal affiliation or community attachment.

**Two or More Races (Not Hispanic or Latino)** - All persons who identify with more than one of the above five races.

**GENDER:** Male x Female

**CITIZENSHIP:** List Citizenship (*If multiple, list with commas between*): USA

**LANGUAGES:** Please check your language ability in any foreign languages below (other than English) and indicate if you are fluent (can conduct an ADR proceeding in that language) or have read-only ability:

Fluent	Fluent	Fluent
French	Spanish	N/A

**PATENT OFFICE.** Are you licensed to practice before the U.S. Patent & Trademark Office? Yes

**CERTIFIED PUBLIC ACCOUNTANT.** Are you a Certified Public Accountant? Yes

**GOVERNMENT SECURITY CLEARANCE:** Have you ever had a U.S. Government Security Clearance? Yes

Dates  
Level (e.g., Confidential, Top Secret)

Note: A resume may be substituted for Items 2-3.

## 2. EDUCATION & LICENSES

**A. Enter your most significant university educational degrees starting with the most recent.**

Year	School	City/State	Degree (spell out acronyms)
	See attached CV		

**B. Specify any licenses, jurisdiction and date of licensure: (Include Bar Admissions for lawyers and/or other professional licenses that apply; i.e., Architect, Engineer, etc. ) (Spell out acronyms)**

License	Year Issued	State/Country	Current (Y or N)
	1977	Maine, USA	Y
	1979	District of Columbia, USA	Y
	1980	New York, USA	Y

### 3. WORK HISTORY

Provide a list of your work history starting with the **most recent position**.

Use the codes from the table below in Column 5.

Note: Judicial experience appears separately at Question 5, below.

Duties if a Lawyer in this Position	Duties if a Non-Lawyer in this Position
<b>(1) Litigator (law firm, government, public interest)</b> <b>(2) Partner</b> <b>(3) Associate</b> <b>(4) Managing Partner</b> <b>(5) Shareholder</b> <b>(6) Transactional attorney</b> <b>(7) In-house counsel in any capacity</b> <b>(8) General Counsel</b> <b>(9) Assoc. General Counsel</b> <b>(10) Arbitrator</b> <b>(11) Mediator</b> <b>(12) Law Professor: Full Time</b> <b>(13) Adjunct Professor</b>	<b>(Specify duties and division/department tersely)</b>  <b>(16) Engineer: Civil, Structural, Mechanical</b> <b>(17) Architect</b> <b>(18) Contractor</b> <b>(19) Sub/Contractor</b> <b>(20) President</b> <b>(21) Vice President</b> <b>(22) Director</b> <b>(23) Head of Division</b> <b>(24) Corporate Business Person: (specify division or department functions tersely; E.g., Corporate Sales; Construction Management; Technology Development)</b>

(14) Judge (must specify title) (15) Other	(25) Other
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<u>Col 1</u> Dates of Service	<u>Col 2</u> Company/Firm Name	<u>Col 3</u> City/State	<u>Col 4</u> Your Title	<u>Col 5</u> Duties/ Functions (See box above)	<u>Col 6</u> Primarily represented Plaintiffs (Insert "P") or Defendants (Insert "D") or leave blank if NA **
1985-Present	Hughes Hubbard & Reed LLP	New York, NY	Partner	2	
1979-1985	Hill Betts & Nash	New York, NY	Associate	1	
1984-1985	Hill Betts & Nash	New York, NY	Partner	2	
				1	
				1	
				1	
				1	
					<b>** Insurance Industry -</b> <b>• Use "P" for Policy Holder Representation</b> <b>• Use "D" for Insurer Representation</b>

**4. ADR NEUTRAL EXPERIENCE**

**a. Neutral and Advocate Roles:**

Please provide your best estimate of the number of times you have been a Neutral and/or an Advocate in the following types of ADR procedures, if applicable to you: \*

		Overall	Last 12 Months
<b>Arbitration</b>	Total # <b>Neutral Arbitrator</b> Service	None	None
	# of total as Sole Arbitrator	None	None
	# of total as Tribunal Chair	None	None
	# of total as Class Action Arbitrator	None	None
	# as <b>Advocate</b> in Arbitration	None	None
<b>Mediation</b>	Total # <b>Neutral Mediator</b> Service	None	None
	# of total as a Co-Mediator	None	None
	# of total as a Multi-Party Mediator	None	None
	# of total as a Process Design Mediator	None	None

		<b>Overall</b>	<b>Last 12 Months</b>
	# as <b>Advocate</b> in Mediation	1-5	None
<b>Early Neutral Evaluation</b>	Neutral	None	None
	Advocate	None	None
<b>Facilitation of Multi-Party Defense</b>	Neutral	None	None
	Advocate	None	None
<b>Mini-trial Chair</b>	Neutral	None	None
	Advocate	None	None
<b>Private Judging</b>	Neutral		
	Advocate	None	None
<b>Reg – Neg</b>	Neutral	None	None
	Advocate	None	None
<b>Special Master</b>	Neutral	None	None
	Advocate	None	None
<b>Summary Jury Trial</b>	Neutral	None	None
	Advocate	None	None
<b>Dispute Review Board Member</b>	Neutral	None	None
	Advocate	None	None
<b>Dispute Review Board Chair</b>	Neutral	None	None
	Advocate	None	None
<b>Partnering Neutral</b>	Neutral	None	None
	Advocate	None	None
<b>Ombudsperson</b>	Neutral	None	None
	Advocate	None	None
<b>Other</b>	Neutral		
	Advocate		

\*If you feel that the numerical range you checked off does not fully reflect the depth of your experience (e.g., you served as mediator just once but it was an unusually protracted and complex matter, please describe:

## 5. PROFESSIONAL AND ADR CONCENTRATIONS

I want to be considered as an:  Arbitrator  Mediator

CPR maintains the following Specialty Rosters that may involve peer review. Please indicate whether you wish to be considered for any such rosters:

- |   |   |
|---|---|
| <input type="checkbox"/> Arbitration Appeal(Appellate Judges only)<br><input checked="" type="checkbox"/> Banking, Accounting and Financial Services<br><input type="checkbox"/> Bio-Tech<br><input type="checkbox"/> Certified Public Accountants<br><input checked="" type="checkbox"/> Construction<br><input type="checkbox"/> E-Discovery<br><input type="checkbox"/> Employment<br><input checked="" type="checkbox"/> Energy, Oil & Gas<br><input type="checkbox"/> Entertainment<br><input checked="" type="checkbox"/> Environmental | <input type="checkbox"/> Franchise<br><input type="checkbox"/> General Counsel (former GCs of Fortune 500 corporations only)<br><input type="checkbox"/> Healthcare & Life Sciences<br><input checked="" type="checkbox"/> Insurance<br><input checked="" type="checkbox"/> Insurer-Policy Holder Coverage<br><input checked="" type="checkbox"/> International<br><input type="checkbox"/> Judicial Roster<br><input type="checkbox"/> Sports Law<br><input type="checkbox"/> Taxation<br><input checked="" type="checkbox"/> Technology<br><input type="checkbox"/> Trademark |
|---|---|

A number of the specialty panels (Construction, Employment, Energy, Insurance, International and Technology) require completion of an addendum at the end of this questionnaire. If you have indicated an interest in a specialty panel, please describe your qualifications for the specified panel(s), e.g., percent of time spent in training or practice in that area, description of cases handled, etc.: ??

Please detail your areas of concentration with “P” for a primary area of practice and “S” for a subsidiary area of practice. Note: these are areas in which you already have experience, rather than areas in which you are interested.

AREAS of Concentration	<i>Col.2</i> Professional	<i>Col. 3</i> Arbitrator	<i>Col. 4</i> Mediator
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	<b>Concentrations/Specialties</b> (as lawyer, business person, advocate or judge <b>but not</b> <b>as arbitrator or mediator</b> )	<b>Concentrations</b>	<b>Concentrations</b>
<b><i>Construction Specialists</i></b>			
Construction Defects			
Claims for Delay			
Claims for Extras			
General Building Construction			
Government Contracts			
Hazardous Waste			
Health Facilities			
Industrial (Mills, Refineries, Pharma)	S	S	
Insurance Indemnity & Contribution			
International (incl. FIDIC)	S	S	
Manufacturing Construction			
Marine	S		
Mold			
Petroleum			
Power			
Sewage/Solid Waste			
Available Under Construction Expedited Rules			
Telecommunications			
Transportation (Bridges, Roads, Tunnels, etc.)			
Water Supply			
Construction Other			
<b><i>Employment Specialists</i></b>			
Civil Rights and Compensation			
Employee Benefits/Erisa			
Executive Compensation			
FLSA			
Statutory Discrimination Claims			
Other - Employment			
<b><i>Energy, Oil &amp; Gas Specialists</i></b>			
Electric Generation		S	
Electrical Transmission			
Electric Distribution			
Electric/Power Marketing			
Alternative/Renewable Energy			
Oil & Gas	S		
Oil/NG Exploration/Production			

Natural Gas Pipeline			
Oil/NG Distribution			
Oil/NG Marketing			
Oil Pipelines			
Coal Industry			
Liquefied Natural Gas			
Refining & Petrochemical			
Selected Upstream Issues			
Selected Natural Gas Issues			
Selected Oil Issues			
Risk Management Services			
Rural Electric Co-op Industry			
Expropriation		S	
Other – Energy			
<b><i>Healthcare &amp; Life Sciences</i></b>			
Biotech			
Food, Drug & Cosmetics			
Healthcare			
Hospital Law			
Licensing (Medical)			
Life Sciences			
Malpractice (Medical)			
Managed Care			
Medical Devices			
Medical Research Ventures			
Peer Review			
Pharmaceuticals			
Physicians			
Reimbursement Disputes			
<b><i>Insurance Specialists</i></b>			
Construction Defect Insurance			
Directors & Officers Insurance			
Environmental Insurance			
Fidelity Bond			
Financial Institutions Insurance			
Insurance General	S		
Insurance Health			
Insurance Life			
Insurance Property & Casualty			
Reinsurance			
Insurance Telecommunications			
Insurance Other		S	
<b><i>Technology/Intellectual Property</i></b>			

<b><i>Specialists</i></b>			
Aeronautics		S	
Biology			
Biotechnology			
Business Methods			
Materials (polymers, ceramics, etc)		S	
Chemistry			
Computers & Software			
Electrical			
Electronics			
Intellectual Property			
Internet			
Mechanical			
Medical Devices			
Nanotechnology			
Optics			
Patents			
Pharmaceuticals			
Privacy/EU Safe Harbor			
Robotics/Machine Vision			
Semiconductors			
Signal Process/Video/CommSysts			
Telecommunications	S	S	
Textiles			
Other - Technology/IP			
<b><i>General Concentrations</i></b>			
Accounting - Forensic			
Accounting - General	S		
Accounting - Litigation			
Accounting - Valuation			
Administrative law			
Agriculture			
Antitrust			
Asbestos			
Automotive			
Aviation/Aerospace	S	S	
Banking			
Bankruptcy			
Business Disputes	P	P	
Chemicals			
Civil Rights & Liberties			
Class Action (Defendant Side)			
Class Action (Neutral)			

Class Action (Plaintiff Side)			
Commercial Law	P		
Commodities			
Communications & Media	S		
Community Organizations			
Computer law			
Construction	P	P	
Consumer Affairs			
Contract-Government			
Contract-Manufacturing	P	S	
Copyright			
Corporate (Financing)			
Corporate (General)	P	P	
Corporate (Governance)			
Corporate (Transactions)		S	
Corporate (Underwriting)			
Debtor/Creditor			
Defamation/First Amendment			
Domain Name			
Employment			
Energy, Oil & Gas	S		
Entertainment			
Environmental (Cleanup)			
Environmental (General)	S	S	
Environmental (Regulatory)			
False Advertising/FTC			
Financial Services			
Franchise			
Fraud/Misrepresentation			
Housing & Urban Development			
Information Technology			
Insurance		P	
Intellectual Property			
International (Environmental)		S	
International (General)	P	P	
International (Trade)			
International (Transactions)	S	P	
Labor Relations			
Leasing			
Licensing (General)		S	
Licensing (Software)			
Malpractice (Legal)			
Manufacturing	S	S	

Maritime	S		
Merger & Acquisition			
Mining			
Municipal Law			
Natural Resources			
Non-Profit Organizations			
Partnership Disputes	S		
Patents/Trade Secret			
Personal Injury			
Product Liability			
Professional Fees			
Real Estate (Banking & Finance)			
Real Estate (Development)			
Real Estate (General)			
Real Estate (Land Use/Zoning)			
Real Estate (Leasing)			
Regulated Industries			
Reinsurance			
Religious Entities			
RICO			
Securities			
Sports Law			
Taxation (Corporate)			
Taxation (General)			
Taxation (Individual)			
Taxation (International)			
Taxation (Partnership)			
Technology/Science			
Torts (Business & Property)			
Torts (General)			
Torts (Mass)			
Torts (Toxic)			
Trademark			
Trade Secrets			
Transportation			
Trusts & Estates			
Unfair Competition			
Water Law			
Workouts			

**Representative Matters; e.g.:**

1. FIAT v. Suriname, 88 Civ. 6639 (SDNY Oct. 11, 1989) (advocate; motions to confirm/vacate arbitral award).

2. Medical device manufacturer v. Patentholder (arbitrator in multimillion dollar patent dispute).
3. See attached CV.

**6. ADR ROSTER LISTINGS**

Complete tables if you are currently listed on the roster or panel of neutrals maintained by any ADR organizations ( e.g., AAA, JAMS, CEDR, ICC, International Academy of Mediators, Regional Provider, Government Agency Roster, Court Roster, Other? ) Include CPR Institute Panels you are already on, if any.

**a. ARBITRATOR ROSTERS of ADR ORGANIZATIONS**

<b>Organization</b>	<b>Arbitration Roster (&amp; any sub-roster)</b>	<b>Years on Roster</b>	<b>Estimate Average Amount in Claim in Cases from Each Organization</b>	<b>Best Estimate of the Number of Cases on which You Served as an Arbitrator for this Organization</b>
<i>Example: AAA</i>	<i>Large Complex Case Panel</i>	<i>3 years</i>	<i>\$3 Million average</i>	<i>2 cases</i>
ICC		15	> \$20 million	14
AAA		22	\$5 million	2

**b. MEDIATOR ROSTERS of ADR ORGANIZATIONS**

<b>Organization</b>	<b>Mediation Roster (&amp; any sub-roster)</b>	<b>Years on Roster</b>	<b>Estimate Average Amount in Claim in Cases from Each Organization</b>	<b>Best Estimate of the Number of Cases on which You Served as a Mediator for this Organization</b>
<i>Example: JAMS</i>	<i>Los Angeles Panel</i>	<i>2 years</i>	<i>\$2 Million average</i>	<i>4 cases</i>

**7. ADR TRAINING**

**a. List MEDIATOR Skills Training Sessions you have taken**

<b>Sponsoring Organization &amp; Location</b>	<b>Primary Model Fostered by Training: (e.g., Facilitative, Evaluative, Transformative, Caucus, Non-Caucus)</b>	<b>% of Time Spent in Role-Plays/Exercises</b>	<b>Year Taken</b>	<b>Duration: <u>Hours</u> of Training</b>

**b. Statement of Philosophical Approach to Mediation**

**c. List ARBITRATOR Skills Training Sessions you have taken**

<b>Sponsoring Organization &amp; Location</b>	<b>Skills Emphasized</b>	<b>% of Time Spent in Role-Plays/Exercises</b>	<b>Year Taken</b>	<b>Duration: <u>Hours</u> of training</b>

**8. ADR REFERENCE INFORMATION**

You must provide references from each side of the same case.

CPR may not publish reference contact information.

**Reference as Arbitrator**

	<b>Dispute Type, Amount in Dispute and Year</b>	<b>Name of Counsel, Firm Name and Location of Firm</b>	<b>Phone and E-mail address</b>
for Claimant	\$41 million exclusive of interest  2007	Thomas F. Cullen Jones Day 51 Louisiana Ave., N.W. Washington, DC	202-879-3939
for Respondent		T. Barry Kingham Curtis, Mallet-Prevost, Colt &	212-696-6046 bkingham@cm-p.com

		Mosle LLP 101 Park Avenue New York, NY	
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**Reference as Mediator**

	<b>Dispute Type, Amount in Dispute and Year</b>	<b>Name of Counsel, Firm Name and Location of Firm</b>	<b>Phone and E-mail address</b>
for Claimant			
for Respondent			

<b>9. OTHER</b>
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Provide any honors, publications or other information you believe are relevant to your application.

Honors:

- Fellow, College of Commercial Arbitrators
- Medalla de la Federación Interamericano de Abogados

Publications:

- Spoliation in International Arbitration: Is it Time to Reconsider the ‘Dirty Wars’ of the International Arbitral Process?, 3(1) DISP. RESOL. INT’L 5 (2009)
- Making the Case in International Arbitration: A Common Law Orientation to the Marshalling and Presentation of Evidence, 16 REVISTA DE ARBITRAGEM E MEDIAÇÃO 171 (2008)
- The Art of the Missed Opportunity—How U.S. Courts Declined to Assist Private Arbitral Tribunals under the U.S. Law Authorizing Discovery in Aid of Foreign and International Proceedings, 17 J. INT’L ARB. 131 (2000)

**The Addendum should be completed only if you are interested in being considered for the Specialty Panel it covers.**

## **Construction Specialists Addendum**

1. What **percentage** of your law practice or business *for the last ten years* has been construction-related (**Required**):

1 to 25%

2. **Have you had significant construction practice in the last ten years** representing or working with the following client groups:

- |   |  |
|---|--|
| <input type="checkbox"/> Private Owners/Developers      | <input type="checkbox"/> Insurers/Sureties         |
| <input checked="" type="checkbox"/> Government Entities | <input type="checkbox"/> Engineers/Architects      |
| <input type="checkbox"/> Contractors                    | <input type="checkbox"/> Sub-contractors/suppliers |

3. **Have you had significant construction practice in the last ten years** devoted to the following dispute processes:

- |  |  |
|--|--|
| <input type="checkbox"/> Litigation  | <input type="checkbox"/> Dispute Management (in house) |
| <input checked="" type="checkbox"/> Arbitration (as Neutral or representing parties) | <input type="checkbox"/> Transactions                  |
| <input type="checkbox"/> Mediation (as Neutral or representing parties)              | <input type="checkbox"/> Other                         |

Describe 'Other'

## **Employment Specialists Addendum**

1. What **percentage** of your law practice or business *for the last ten years* has been employment-related (**Required**):

1 to 25%

2. **Have you had significant employment practice in the last ten years** representing or working with the following client groups:

- |                                     |  |
|-------------------------------------|--|
| <input type="checkbox"/> Management | <input type="checkbox"/> Non-executive employees |
| <input type="checkbox"/> Executives | <input type="checkbox"/> Other Describe 'Other'  |

3. **Have you had significant employment practice in the last ten years** devoted to the following dispute processes:



- |   |   |
|---|---|
| <input type="checkbox"/> Underwriting             | <input type="checkbox"/> Broker/Producer                        |
| <input type="checkbox"/> Broker/Claims Consultant | <input type="checkbox"/> Consultant/Expert Witness-Policyholder |
| <input type="checkbox"/> Claims                   | <input type="checkbox"/> Consultant/Expert Witness-Ins. Co.     |
| <input type="checkbox"/> Reinsurance              |   |

## International Specialists Addendum

1. What **percentage** of your law practice or business *for the last ten years* has been international (**Required**):

51 to 75%

Foreign clients	51 to 75%
Foreign opponents	26 to 50%
Negotiations for such clients	1 to 25%
Litigation before foreign courts	1 to 25%

2. What **percentage** of your arbitration or mediation practice or business *for the last ten years* has been international (**Required**):

76 to 100%

International Arbitration	76 to 100%
International Mediation	1 to 25%

3. What **languages** have you used in your international arbitration and mediation practice:

Languages used as an arbitrator	English, Spanish, French
Languages used as a mediator:	English
Proceedings conducted in other languages	English, Spanish
Awards made in these languages	English

4. Training or degrees acquired abroad LL.M. in International and Comparative Law (magna cum laude), Free University of Brussels Faculté de Droit, Paris II

5. Practice or other relevant sojourns abroad Undergraduate study at Institut d'Etudes Science Politiques and Faculté de Droit, Paris II; advisor to Spanish national; Past President, Union Internationale des Avocats

## Technology/Patent Specialists Addendum

1. What **percentage** of your law practice or business *for the past ten years* has been related to technology/intellectual property (**Required**):

1 to 25%

2. Has your technology/intellectual property professional practice *in the last ten years* included:

Litigation

Prosecution

Transactions

ADR

Conflict Management

Other

Describe 'Other'